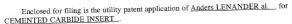
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

UTILITY PATENT APPLICATION TRANSMITTAL LETTER

Box PATENT APPLICATION

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:



Also enclosed are:

- [x] One sheet of [] formal [] informal drawing(s); [x] a claim for foreign priority under 35 U.S.C. §§ 119 and/or 365 is [x] hereby made to SE 9901243-7 filed in <u>SWEDEN</u> on <u>April 8, 1999</u>; [x] in the declaration: [] a certified copy of the priority document; [] a General Authorization for Petitions for Extensions of Time and Payment of Fees; statement(s) claiming small entity status; [] an Assignment document; [] an Information Disclosure Statement; and [] Other: ____
- [x] An [] executed [x] unexecuted declaration of the inventor(s) [x] also is enclosed [] will follow.
- [] Please amend the specification by inserting before the first line the sentence -- This application claims priority under 35 U.S.C. §§119 and/or 365 to _ filed in _ on _; the entire content of which is hereby incorporated by reference.--
- [] A bibliographic data entry sheet is enclosed.



[x] The filing fee has been calculated as follows $[\]$ and in accordance with the enclosed preliminary amendment:

	NO. OF CLAIMS		EXTRA CLAIMS	RATE	FEE
Basic Application	Fee	#100.00.00			\$690.00 (101)
Total Claims	23	MINUS 20 =	3	x \$18.00 (103)	\$54.00
Independent Claims	2	MINUS 3 =	0	x \$78.00 (102)	0
If multiple depend	lent claims are p	resented, add \$260.00	(104)		
Total Application	Fee				\$744.0
If verified Statem Application Fee	ent claiming sma	all entity status is encl	osed, subtract 50%	of Total	
Add Assignment	Recording Fee o	f if Assignment docu	ment is enclosed	The state of the s	

- [] This application is being filed without a filing fee. Issuance of a Notice to File Missing Parts of Application is respectfully requested.
- [x] A check in the amount of $\frac{744.00}{}$ is enclosed for the fee due.
- [] Charge \$ _____ to Deposit Account No. 02-4800 for the fee due.
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Please address all correspondence concerning the present application to:

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Respectfully submitted,

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Date: April 7, 2000

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SWC/ak

UNITED STATES PATENT APPLICATION

OF

ANDERS LENANDER

and

MIKAEL LINDHOLM

FOR

CEMENTED CARBIDE INSERT

Attorney Docket No. 024444-747 BURNS, DOANE, SWECKER & MATHIS, L.L.P. Post Office Box 1404 Alexandria, Virginia 22313-1404 (703) 836-6620

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CEMENTED CARBIDE INSERT

BACKGROUND OF THE INVENTION

The present invention relates to a coated cemented carbide cutting tool insert particularly useful for turning operations in steels or stainless steels, and is especially suited for operations with high demands regarding toughness properties of the insert.

High performance cutting tools must nowadays possess high wear resistance, high toughness properties and good resistance to plastic deformation. Improved toughness behaviour of a cutting insert can be obtained by increasing the WC grain size and/or by raising the overall binder phase content, but such changes will simultaneously result in significant loss of the plastic deformation resistance.

Methods to improve the toughness behaviour by introducing an essentially gamma phase-free and binder phase-enriched surface zone with a thickness of about 20-40 μ m on the inserts by so-called "gradient sintering" techniques have been known for some time e.g. US 4,277,283, US 4,497,874, US 4,548,786, US 4,640,931, US 5,484,468, US 5,549,980, US 5,649,279, US 5,729,823. The characteristics of these patents are that the surface zone has a different composition than the bulk composition, and is depleted of gamma phase and binder phase enriched.

20 SUMMARY OF THE INVENTION

It has now surprisingly been found that by using a gamma phase consisting essentially of only TaC and TiC in addition to WC, by keeping the ratio between the elements Ta and Ti within specific limits, and having a highly W-alloyed binder phase, the toughness properties of the gradient sintered cutting inserts can be significantly improved without any loss of plastic deformation resistance.

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A first aspect of the present invention provides a cutting tool insert for machining steel comprising a cemented carbide body comprising WC, 5-12 wt.% Co, 3-11 wt.% of cubic carbides of the metals Ta and Ti, and less than 0.1 wt.% of Nb where the ratio of Ta/Ti is 1.0-4.0, and the Co-binder phase is highly alloyed with W, having a CW-ratio of 0.75-0.95, the body also comprising a binder phase enriched and essentially gamma phase free surface zone with a thickness of 5-50 μ m; and a coating.

A second aspect of the present invention provides a method of making a coated cemented carbide body having a gamma phase-free and binder rich surface zone comprising the steps of:

- (i) forming a powder mixture comprising WC, 5-12 wt.% Co, 3-11 wt.% cubic carbides of Ta and Ti, where the ratio of Ta/Ti is 1.0-4.0;
 - (ii) adding N in an amount of 0.6-2.0% of the weight of Ta and Ti;
- (iii) milling and spray drying the mixture to form a powder material with the desired properties;
- (iv) compacting and sintering the powder material at a temperature of 1300-1500 °C, in a controlled atmosphere of about 50 mbar followed by cooling, whereby a body having a binder phase enriched and essentially gamma phase free surface zone of 5-50 μ m in thickness is obtained;
 - (v) applying a pre-coating treatment to the body; and
 - (vi) applying a hard, wear resistant coating.

BRIEF DESCRIPTION OF THE DRAWING

Figure 1 is a plot showing the level of Co enrichment near the surface of an insert formed according to the present invention.

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DETAILED DESCRIPTION OF PREFERRED EMBODIMENTS

According to the present invention there is now provided a coated cemented carbide insert with a 5-50 μm thick, preferably 10-30 μm thick, essentially gamma phase free and binder phase-enriched surface zone with an average binder phase content (by volume) preferably in the range 1.2-2.0 times the bulk binder phase content.

The gamma phase consists essentially of TaC and TiC and of any WC that dissolves into the gamma phase during sintering. The ratio Ta/Ti is between 1.0 and 4.0, preferably 2.0-3.0.

The binder phase is highly W-alloyed. The content of W in the binder phase can be expressed as a

CW-ratio = M_s / (wt. Co* 0.0161) where

 M_s is the measured saturation magnetization of the cemented carbide body in kA/m and wt-% Co is the weight percentage of Co in the cemented carbide. The CW-ratio takes a value less than or equal to 1. The lower the CW-ratio, the higher the W-content in the binder phase. It has now-been found according to the invention that an improved cutting performance is achieved if the CW-ratio is in the range 0.75-0.95, preferably 0.80-0.85.

The present invention is applicable to cemented carbides with a composition of 5-12, preferably 9-11, weight percent of Co binder phase, and 3-11, preferably 7-10, weight percent TaC + TiC, and the balance being WC. The Nb content should not exceed 0.1 weight percent. The weight ratio Ta/Ti should be 1.0-4.0, preferably 2.0-3.0. The WC preferably has an average grain size of 1.0 to 4.0 μ m, more preferably 1.5 to 3.0 μ m. The cemented carbide body may contain less than 1 volume % of η -phase (M₆C).

Inserts according to the invention are further provided with a coating preferably comprising 3-12 μm columnar TiCN-layer followed by a 1-8 μm thick Al₂O₃-layer deposited, for example, according to any of the patents US 5,766,782,

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US 5,654,035, US 5,974,564, US 5,702,808, preferably a κ -Al₂O₃-layer and preferably with an outermost thin layer of TiN which preferably is removed in the edge line by brushing or by blasting.

According to the invention, by applying coatings with different thickness on the cemented carbide body the property of the coated insert can be optimised to suit specific cutting conditions.

In one embodiment, a cemented carbide insert produced according to the invention is provided with a coating of: $6~\mu m$ TiCN, $5~\mu m$ Al₂O₃ and $1\mu m$ TiN. This coated insert is particularly suited for cutting operation in steel.

In another embodiment, a cemented carbide insert produced according to the invention is provided with a coating of: 4 μ m TiN, 2 μ m Al $_2$ O $_3$ and 1 μ m TiN. This coating is particularly suited for cutting operations in stainless steels.

The invention also relates to a method of making cutting inserts comprising a cemented carbide substrate of a binder phase of Co, WC, a gamma phase of Ta and Ti, a binder phase enriched surface zone essentially free of gamma phase, and a coating. A powder mixture containing 5-12, preferably 9-11, weight percent of binder phase consisting of Co, and 3-11, preferably 7-10, weight percent TaC + TiC, and the balance WC with an average grain size of 1.0 - 4.0 μ m, more preferably 1.5-3.0 \(mu\)m, is prepared. The Nb content should not exceed 0.1 weight percent. The weight ratio Ta/Ti should be 1.0-4.0, preferably 2.0-3.0. Wellcontrolled amounts of nitrogen have to be added either the powder as carbonitrides and/or added during the sintering process via the sintering gas atmosphere. The amount of nitrogen added will determine the rate of dissolution of the cubic phases during the sintering process and hence determine the overall distribution of the elements in the cemented carbide after solidification. The optimum amount of nitrogen to be added depends on the composition of the cemented carbide and, in particular, on the amount of cubic phases and varies between 0.6 and 2.0% of the weight of the elements Ti and Ta. The exact conditions depend to a certain extent

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on the design of the sintering equipment being used. It is within the purview of the skilled artisan to determine whether the requisite surface zone of the cemented carbide have been obtained and to modify the nitrogen addition and the sintering process in accordance with the present specification in order to obtain the desired result.

The raw materials are mixed with pressing agent and, optionally W, such that the desired CW-ratio is obtained. The mixture is milled and spray dried to obtain a powder material with the desired properties. Next, the powder material is compacted and sintered. Sintering is performed at a temperature of 1300-1500°C, in a controlled atmosphere of about 50 mbar followed by cooling. After conventional post sintering treatments, including edge rounding, a hard, wear resistant coating according to above is deposited by CVD- or MT-CVD-technique.

Example 1

A.) Cemented carbide turning inserts of the style CNMG 120408-PM and SNMG120412-PR with the composition 9.9 wt% Co, 6.0 wt% TaC, 2.5 wt% TiC, and 0.3 wt% TiN, with the balance WC having an average grain size of 2.0 μ m were produced according to the invention. The nitrogen was added to the carbide powder as TiCN. Sintering was done at 1450 °C in a atmosphere of Ar at a total pressure of about 50 mbar.

Metallographic investigation showed that the inserts had a gamma phase free zone of 15 μ m. Fig.1 shows a plot of the Co enrichment near the surface measured by an image analysis technique. The Co is enriched to a peak level of 1.3 times the bulk content. Magnetic saturation values were recorded and used for calculating CW-values. An average CW-value of 0.81 was obtained.

After a pre-coating treatment like edge honing, cleaning etc. the inserts were coated in a CVD-process comprising a first thin layer (less than 1 μ m) of TiN followed by 6 μ m thick layer of TiCN with columnar grains by using MTCVD-

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techniques (process temperature 850 °C and CH $_3$ CN as the carbon/nitrogen source). In a subsequent process step during the same coating cycle, a 5 μ m thick κ -Al $_2$ O $_3$ layer was deposited according to US Patent 5,974,564. On top of the κ -Al $_2$ O $_3$ layer a 1.0 μ m TiN layer was deposited. The coated inserts were brushed in order to smoothly remove the TiN coating from the edge line.

- B.) Cemented carbide turning inserts of the style CNMG 120408-PM and SNMG120412-PR with the composition 10.0 wt% Co, 2.9 wt% TaC, 3.4 wt% TiC, 0.5 wt% NbC and 0.2 wt% TiN and the balance WC with an average grain size of 2.1 μ m were produced. The inserts were sintered in the same process as A. Metallographic investigation showed that the produced inserts had a gamma phase free zone of 15 μ m. Magnetic saturation values were recorded and used for calculating CW-values. An average CW-value of 0.81 was obtained. The inserts were subject to the same pre-coating treatment as A, coated in the same coating process and also brushed in the saute way as A.
- C.) Cemented carbide turning inserts of the style CNMG 120408-PM and SNMG120412-PR with the composition 10.0 wt% Co, 3.0 wt% TaC, 6.3 wt% ZrC and balance WC with an average grain size of 2.5 μ m were produced.

Metallographic investigation showed that the produced inserts had a gamma phase free zone of 12 μm . Magnetic saturation values were recorded and used for calculating CW-values. An average CW-value of 0.79 was obtained. The inserts were subject to the same pre-coating treatment as A, coated in the same coating process and also brushed in the same way as A.

Example 2

Inserts from A, B and C were tested with respect to toughness in a longitudinal turning operation with interrupted cuts.

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Material; Carbon steel SS1312.

Cutting data:

Cutting speed

130 m/min

Depth of cut

1.5 mm

Feed = Starting with $0.15~\mathrm{mm}$ and gradually increased by $0.10~\mathrm{mm/min}$ until breakage of the edge

8 edges of each variant were tested

Inserts style: CNMG120408-PM

Results:

Mean feed at breakage

Inserts A

0.31 mm/rev

Inserts B

0.22 mm/rev

Inserts C

0.22 mm/rev

Example 3

15 Inserts from A, B and C were tested with respect to resistance to plastic deformation in longitudinal turning of alloyed steel (AISI 4340).

Insert style: CNMG 120408-PM

Cutting data:

Cutting speed = 100 m/min

Feed =

0.7 mm/rev.

Depth of cut=

2 mm

Time in cut =

0.50 min

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The plastic deformation was measured as the edge depression at the nose of the inserts.

Results:

Edge depression, μm		
49		
63		
62		

Example 4

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Tests performed at an end user producing rear shaft for lorries. The inserts from A and C were tested in a three turning operations with high toughness demands due to interrupted cuts. The inserts were run until breakage of the edge. The insert style SNMG120412-PR was used. Results:

Number of machined components

	Operation	1	2	3	
15	Variant A	172	219	119	
	Variant C	20	11	50	

Examples 2, 3 and 4 show that the inserts A according to the invention surprisingly exhibit much better toughness in combination with somewhat better plastic deformation resistance in comparison to conventional inserts B and C.

The foregoing has described the principles, preferred embodiments and modes of operation of the present invention. However, the invention should not be construed as being limited to the particular embodiments discussed. Thus the above-described embodiments should be regarded as illustrative rather than restrictive, and it should be appreciated that variations may be made in those embodiments by workers skilled in the art without departing from the scope of the present invention as defined by the following claims.

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WE CLAIM:

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A coated cemented carbide body comprising:

a gamma phase consisting essentially of TaC, TiC and WC, wherein the ratio of Ta/Ti is 1.0-4.0, the body having a CW ratio of 0.75-0.95, the CW ratio expressed as:

 $\label{eq:cw} CW\ ratio = M_s/(wt.\%\ Co*0.0161),\ wherein\ M_s\ is\ the\ measured$ saturation magnetization of the body and wt.% Co is the weight percentage of Co in the cemented carbide, the body further comprising a surface zone that is essentially gamma phase-free and is binder rich.

- 2. The coated body of claim 1, wherein the surface zone is approximately 5-50 μm thick.
- 3. The coated body of claim 1, wherein the surface zone is approximately 10-30 μm thick.
- The coated body of claim 1, wherein the surface zone has a binder
 phase content 1.2-2.0 times the binder phase content in the rest of the body.
 - 5. The coated body of claim 1, wherein the Ta/Ti-ratio is 2.0-3.0.
 - 6. The coated body of claim 1, wherein the CW ratio is 0.80-0.85.
 - 7. The coated body of claim 1, wherein the body comprising Co content of 5-12 wt. %.
 - 8. The coated body of claim 7, wherein the Co content is 9-11 wt. %.

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- The coated body of claim 1, wherein the combined content of TaC and TiC is 3-11 wt.%.
- 10. The coated body of claim 9, wherein the combined content of TaC and TiC is 7-10 wt. %.
- 5 11. The coated body of claim 1, wherein the body comprises WC having a grain size of 1.0-4.0 μm .
 - 12. The coated body of claim 11, wherein the grain size is 1.5-3.0 μm .
 - 13. A coated body of claim 1, wherein said coating comprises a 3-12 μm columnar TiCN-layer, followed by a 1-8 μm thick Al₂O₃-layer.
- 10 14. The coated body of claim 13, wherein the said Al_2O_3 -layer is κ Al_2O_3 .
 - The coated body of claim 13, wherein the coating comprises an outermost layer of TiN.
- 16. The coated body of claim 14, wherein the coating comprises anoutermost layer of TiN.
 - 17. The coated body of claim 15, having no TiN layer at an edge line of the body.
 - 18. The coated body of claim 1, wherein the coated body comprises a cutting tool insert having at least one cutting edge.

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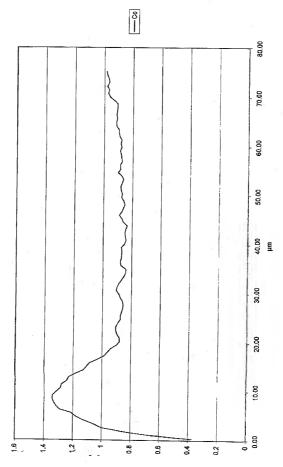
- 19. A method of making a coated cemented carbide body having a gamma phase-free and binder rich surface zone comprising the steps of:
- (i) forming a powder mixture comprising WC, 5-12 wt.% Co, 3-11 wt.% cubic carbides of Ta and Ti, where the ratio of Ta/Ti is 1.0-4.0;
 - (ii) adding N in an amount of 0.6-2.0% of the weight of Ta and Ti;
 - (iii) milling and spray drying the mixture to form a powder material with the desired properties;
- (iv) compacting and sintering the powder material at a temperature of 1300-1500 °C, in a controlled atmosphere of about 50 mbar followed by cooling, whereby a body having a binder phase enriched and essentially gamma phase free surface zone of 5-50 μ m in thickness is obtained;
 - (v) applying a pre-coating treatment to the body; and
 - (vi) applying a hard, wear resistant coating.
- 20. The method of claim 19, further comprising adding a pressing agent and W to the powder mixture in an amount to give the body a CW ratio of 0.75-0.95, the CW ratio is expressed as CW ratio = M_s/(wt. % Co*0.0161), where M_s is the measured saturization magnetization of the body and wt. % Co is the weight percentage of Co in the cemented carbide.
- The method according to claim 19, wherein the powder mixture
 comprises 7-10 wt. % of cubic carbides of the metals Ta and Ti.
 - The method according to claim 19, wherein the coating is applied using a CVD-technique.
 - The method according to claim 19, wherein the coating is applied using a MT-CVD-technique.

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Abstract of the Disclosure

The present invention relates to a cutting tool insert and its methods of manufacture for machining of steel comprising a cemented carbide body and a coatings. The cemented carbide body includes WC, 5-12 wt-% Co and 3-11 wt-% of cubic carbides of metals Ta and Ti. The amount of Nb is below 0.1 wt-% and the ratio Ta/Ti is 1.0-4.0. The Co-binder phase is highly alloyed with W with a CW-ratio of 0.75-0.95 and, finally, the cemented carbide body has a binder phase enriched and essentially gamma phase free surface zone of a thickness of 5-50 μm .





COMBINED DECLARATION AND POWER OF ATTORNEY

Attorney's Docket No.

FOR UTILITY PATENT APPLICA	ATION	024444-747
As a below-named inventor, I hereby declare that: My residence, post office address and citizenship are as sta I BELIEVE I AM THE ORIGINAL, FIRST AND SOLI ORIGINAL, FIRST AND JOINT INVENTOR (if more th WHICH IS CLAIMED AND FOR WHICH A PATENT IS	E INVENTOR (if only on an one name is listed below	te name is listed below) OR AN W) OF THE SUBJECT MATTER
CEMENTED CARBIDE INSERT		
the specification of which		
(check one)	Application No.	as
	and was amended on	(if applicable)
I HAVE REVIEWED AND UNDERSTAND THE CONTINCLUDING THE CLAIMS, AS AMENDED BY ANY A	TENTS OF THE ABOVE- AMENDMENT REFERRE	IDENTIFIED SPECIFICATION, D TO ABOVE;
I ACKNOWLEDGE THE DUTY TO DISCLOSE TO THI MATERIAL TO PATENTABILITY AS DEFINED IN TI (as amended effective March 16, 1992);	E OFFICE ALL INFORM TLE 37, CODE OF FEDE	ATION KNOWN TO ME TO BE RAL REGULATIONS, Sec. 1.56
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Attorney's Docket No. 024444-747

COUNTRY/INTERNATIONAL	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED
SWEDEN	9901243-7	April 8, 1999	YES_x_NO
3112231			YES_ NO_

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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